

Verfahren: KfW-2026-0023 - Environmental and Social Consulting Services for E&S Experts

EIGNUNGSKRITERIEN

1 Contact person + contact details [Mussangabe]

Please indicate a contact person including contact details (phone) for any queries concerning the tender procedure. We also ask you to provide a general e-mail address and general phone number of your company. The e-mail address and phone number must not allow any conclusions to be drawn about personal data (e.g. names) and will be published in the contract award notice with regard to the winning bidder.

2 Economic and financial standing

2.1 Minimum annual turnover

2.1.1 Performance criteria

Ausschlusskriterium

The tenderer / candidate must have a minimum net annual turnover of at least 1.500.000 EUR in each of the last three closed calendar years.

Note: The following selection possibilities (No selection made/Yes/No) have been greyed out purposely. No entries are necessary.

- ☐ No selection made
☐ Yes
☐ No

Nur eine Antwort wählbar

2.1.2 Proof of suitability [Mussangabe]

Maximalpunktzahl: 0

By ticking the relevant box, I/we expressly declare:

For the provision of the service put out to tender, I/we will provide evidence that the net annual turnover amounted to at least EUR 1,500,000 in each of the last three closed calendar years.

I/We have stated the amounts for the three closed calendar years in the 'Declarations concerning eligibility' form. I/We have attached the completed document to the tender by uploading it to the Tender Assistant under the 'Attachments' tab.

☐

Mehrere Antworten wählbar

3 Technical and professional ability

3.1 Access to workforce

3.1.1 Performance criteria

Ausschlusskriterium

The Tenderer has access to minimum 50 experts working in the E&S sector, of which 90% of the employees working in the E&S workflow (part-time and/or full-time) are permanently employed in the company. The distribution should be balanced in the sense of being diversified and reasonably even across experience levels and education degrees, without any single category being disproportionately represented.

Minimum requirement: workforce of E&S experts = 90% permanently employed.

Tenderers are requested to fully populate the form "List of Available Personnel" to demonstrate fulfilment of above requirements. Tenderers which fail to demonstrate access to such workforce in the required quantities will be excluded from the tender procedure.

NOTE: The following selection options (No selection made/Yes/No) have been greyed out deliberately. No entries are required.

- ☐ Keine Angabe
☐ Ja
☐ Nein

Nur eine Antwort wählbar

3.1.2 Proof of suitability [Mussangabe]

Maximalpunktzahl: 0

By ticking the appropriate box, I/we hereby expressly declare:

For the provision of the services put out to tender, I/we confirm that I/we have access to at least 50 experts working in the E&S sector, of whom 90 per cent of the employees working in the E&S workflow (part-time and/or full-time) are permanently employed by the company.

I/We have completed the 'List of Available Personnel' form accordingly. I/We have attached the completed document to the tender by uploading it in the Tender Assistant under the 'Attachments' tab.

3.2 Qualification of the Core Team Members

3.2.1 Performance criteria

Ausschlusskriterium

Enough sufficiently qualified experts responsible for the execution of the contract, namely:

Minimum requirements:

Total of at least 7 experts for the Core Team, of which

- For the role of Team Coordinator: 1 expert meeting the following minimum requirements (see below)
- For the role of Environmental Expert: at least 3 experts meeting the following minimum requirements (see below)
- For the role of Social Expert: at least 3 experts meeting the following minimum requirements (see below)

Team Coordinator

General professional experience of the Team Coordinator in dealing with tasks or in performing services of the tendered kind

The Team Coordinator must demonstrate that s/he has sufficient professional experience in providing services of the tendered kind in the past by company and positions and assigned tasks/responsibilities comparable to this assignment. This experience must satisfy all of the following minimum requirements:

1. Academic qualifications:

Master's Degree or a degree of equivalent level in studies with immediate relevance to the conduct of environmental and social assessments, such as:

- Environmental or Agricultural Sciences, or
- Natural Sciences, or
- Environmental Engineering, or
- Anthropology, or
- Ethnology, or
- Geography, or
- Related subjects.

2. At least fluent (written and spoken) in English comparable to C1 level;

3. At least 5 calendar years of experience evidenced through company and position and tasks/responsibilities in responsibly directing and managing international projects immediately related to environmental and social assessment or management and due diligence; projects do not have to have a minimum or maximum duration for consideration;

4. At least 5 calendar years of experience evidenced through company and position and tasks/responsibilities in managing and supervising quality control measures with regards to environmental and social assessments funded by development banks or Equator Principles Financial Institutions (such as World Bank, ADB, AfDB, IDB, AFD, KfW, IFC, DEG, FMO, CDC, Proparco and similar development banks or Equator Principles Financial Institutions - see www.equator-principles.co.m), Financial Intermediaries or Public Sector Partners and Institutions.

Project experience of the Team Coordinator comparable to the tendered assignment

The Team Coordinator must demonstrate that s/he has sufficient experience in providing services of the tendered kind in the past by executing reference projects comparable to this assignment for this and/or other purchasers. These project references must satisfy all of the following minimum requirements:

1. At least 5 project references need to be submitted; the maximum number of project references to be submitted is 10. If a proposal contains more than 10 project references only the first 10 will be considered for evaluation.

2. Each project must have been implemented in terms of one of the following standards:

- a. World Bank Environmental and Social Standards,
- b. or IFC Performance Standards or
- c. Standards of similar development banks (ADB, IDB, AfDB, EBRD, EIB) or
- d. Equator Principles.

3. At least one reference must demonstrate experience with the World Bank Environmental and Social Standards and at least one reference must demonstrate experience with the IFC Performance Standards.

4. Each project reference must be completed after 2020. Personal project references must involve at least 10 working days of expert's input.

5. Through the required minimum amount of 5 personal project references, the Team Coordinator must demonstrate experience in dealing with each of the following tasks at least once in the role of project coordinator/manager, showing his/her ability of covering the task. The 5 reference projects shall together demonstrate the expert's experience regarding the following required tasks:

- a. Environmental and social appraisal, and
- b. Gap analysis of an Environmental and Social Impact Assessment with an Environmental and Social Action Plan.
- c. Project management experience gained in an environment characterised by a workflow with a high ratio of short-term environmental and social expert assignments within the project or program.

Environmental Experts

General professional experience of the Environmental Expert in dealing with tasks or in performing services of the tendered kind

The Expert must demonstrate that s/he has sufficient professional experience in providing services of the tendered kind in the past by company and positions and assigned tasks/responsibilities comparable to this assignment. This experience must satisfy all of the following minimum requirements:

1. Academic qualifications:

Master's Degree or a degree of equivalent level in studies with immediate relevance to the conduct of environmental assessments, such as:

- Environmental or Agricultural Sciences, or
- Natural Sciences, or
- Environmental Engineering, or
- Geography, or
- Ecology or
- Related subjects.

Fluent (written and spoken) in English, comparable to B2 or better, and all environmental experts combined must demonstrate fluency in French and Spanish.

Project experience of the Environmental Expert comparable to the tendered assignment

The expert must demonstrate that s/he has sufficient experience in providing services of the tendered kind in the past by executing reference projects comparable to this assignment for this and/or other purchasers. These project references must satisfy all of the following minimum requirements:

1. At least 8 project references need to be submitted; the maximum number of project references to be submitted is 15. If a proposal contains more than 15 project references only the first 15 will be considered for evaluation.
2. Each project must have been implemented in terms of one of the following standards:
 - a. World Bank Environmental and Social Standards, or
 - b. IFC Performance Standards or
 - c. Standards of similar development banks (ADB, IDB, AfDB, EBRD, EIB) or
 - d. Equator Principles.
3. At least one reference must demonstrate experience with the World Bank Environmental and Social Standards and at least one reference must demonstrate experience with the IFC Performance Standards.
4. Each project reference must be completed after 2020. Personal project references must involve at least 10 working days of expert's input.
5. Through the required minimum amount of 8 personal project references, the expert must demonstrate experience in dealing with each of the following tasks at least once in the role of principle responsible, showing his or her ability of covering the task. The 8 reference projects must together demonstrate the expert's experience regarding the following tasks:
 - a. Environmental and social appraisal (environmental and natural resource protection aspects only),
 - b. Gap analysis of an Environmental and Social Impact Assessment (environmental and resource protection aspects only).
 - c. Experience with international finance institutions' requirements towards environmental and social assessments, which are detailed in sustainability guidelines or frameworks of EPFI, DFI and any other European bilateral financing institution as KfW IPEX-Bank (<https://www.kfw-ipek-ban k.de/Sustainability/Sustainability-Guideline-of-Kf W-IPEX-Bank/>) and KfW Development Bank (https://www.kfw-entwicklungsbank.de/PDF/Download-Center/PDF-Dokumente-Richtlinien/Nachhaltigkeitsrichtlinie_EN.pdf).
6. Through the required minimum amount of 8 personal project references, the expert must demonstrate experience in an advisory role in the following areas: environmental and resource protection, developing and implementing environmental management plans, protecting neighbouring communities or areas with biodiversity values.
7. Knowledge of at least five of the thirteen sectors as described in Sec. 3.2.4 of Form "Declarations concerning eligibility" and outlined below must be demonstrated by each of the environmental experts through the personal project references. Additionally, the individual expert must cover all five areas, while the expert group as a whole must cover all sectors in total. This is assessed in the matrix at a later stage:
 - I. Energy (e.g. renewables, geothermal and thermal power)
 - II. Infrastructure (e.g. roads, transmission lines, railways, pipelines, airports, harbours)
 - III. Waste management (e.g. waste treatment plants, landfills)
 - IV. Water supply and sanitation (irrigation, waste water treatment plants, sewage networks)
 - V. Water resources (e.g. dams, desalination plants, water management)
 - VI. Health care, education and basic services programmes
 - VII. Agribusiness and agricultural programmes
 - VIII. Emergency programmes, humanitarian aid
 - IX. Conservation / Nature protection / Protected areas management
 - X. Financial Intermediaries
 - XI. Mining and extractives
 - XII. Industrial facilities (e.g. cement, steel, refinery, automotive, petrochemical plants)
 - XIII. Oil and gas (e.g. refineries, pipelines, terminals)

Team requirements of the Environmental Experts

- 1) Language skills: all environmental experts must be fluent in English, and all environmental experts combined must demonstrate fluency in French and Spanish (Pass/Fail).
- 2) Sector coverage: Knowledge of all sectors enlisted in Sec. 3.2.4 of Form "Declarations concerning eligibility" and outlined below must be demonstrated among the core team environmental experts (Pass/Fail).

Social Expert

General professional experience of the Social Expert in dealing with tasks or in performing services of the tendered kind

The Expert must demonstrate that s/he has sufficient professional experience in providing services of the tendered kind in the past by company and positions and assigned tasks/responsibilities comparable to this assignment. This experience must satisfy all of the following minimum requirements:

1. Academic qualifications:
Master's Degree or a degree of equivalent level in studies with immediate relevance to the conduct of social assessments, such as
 - Anthropology, or
 - Ethnology, or
 - Sociology, or
 - Related subjects.
2. Fluent (written and spoken) in English, comparable to B2 or better, and all social experts combined must demonstrate fluency in French and Spanish.
3. At least 6 calendar years of experience in an advisory role with project affected communities, development and implementation of resettlement plans and livelihood restoration plans, stakeholder and community engagement;
4. At least 3 calendar years of experience in conducting social impact assessments and human rights assessments in projects funded by development banks or Equator Principles Financial Institutions;
5. At least 3 calendar years of experience in implementing and monitoring social management plans for projects funded by development banks or Equator Principles Financial Institutions

Project experience of the Social Expert comparable to the tendered assignment

The expert must demonstrate that he or she has sufficient project experience in providing services of the tendered kind in the past by executing reference projects comparable to this assignment for this and/or other purchasers. These project references must satisfy all of the following minimum requirements:

1. At least 8 project references need to be submitted; the maximum number of project references to be submitted is 15. If a proposal contains more than 15 project references only the first 15 will be considered for evaluation.
2. Each project must have been implemented in terms of one of the following standards:
 - a. World Bank Environmental and Social Standards, or
 - b. IFC Performance Standards or
 - c. Standards of similar development banks (ADB, IDB, AfDB, EBRD, EIB) or
 - d. Equator Principles.
3. At least one reference must demonstrate experience with the World Bank Environmental and Social Standards and at least one

reference must demonstrate experience with the IFC Performance Standards.

4. Each project reference must be completed after 2020. Personal project references must involve at least 10 working days of expert's input.

5. Through the required minimum amount of 8 personal project references, the expert must demonstrate experience in dealing with each of the following tasks at least once in the role of principle responsible, showing his or her ability of covering the task. The 8 reference projects must together demonstrate the expert's experience regarding the following tasks:

- Environmental and social appraisal (social and human rights aspects only), and
- Gap analysis of an Environmental and Social Impact Assessment (social and human rights aspects only).
- Resettlement and Livelihood Restoration management, and
- Employment and working conditions (ILO conventions).

6. Through the required minimum amount of 8 personal project references, the expert must demonstrate experience of the social risks and impacts including Human Rights Impact Assessment, employment and working conditions (ILO conventions) and social-economic conditions and livelihoods in the affected communities in at least five of the sectors listed in Sec. 3.2.4 of Form "Declarations concerning eligibility" and outlined below must be demonstrated by each of the social experts. Additionally, the individual expert must cover all five areas, while the expert group as a whole must cover all sectors in total. This is assessed in the matrix at a later stage:

- Energy (e.g. renewables, geothermal and thermal power)
- Infrastructure (e.g. roads, transmission lines, railways, pipelines, airports, harbours)
- Waste management (e.g. waste treatment plants, landfills)
- Water supply and sanitation (irrigation, waste water treatment plants, sewage networks)
- Water resources (e.g. dams, desalination plants, water management)
- Health care, education and basic services programmes
- Agribusiness and agricultural programmes
- Emergency programmes, humanitarian aid
- Conservation / Nature protection / Protected areas management
- Financial Intermediaries
- Mining and extractives
- Industrial facilities (e.g. cement, steel, refinery, automotive, petrochemical plants)
- Oil and gas (e.g. refineries, pipelines, terminals)

Team requirements of the Social Experts combined

1) Language skills: all social experts must be fluent in English, and all social experts combined must demonstrate fluency in French and Spanish (Pass/Fail).

2) Sector coverage: Knowledge of all sectors as described in Sec. 3.2.4 of Form "Declarations concerning eligibility" must be demonstrated among the core team social experts (Pass/Fail).

Note: The following selection possibilities (No selection made/Yes/No) have been greyed purposely. No entries are necessary.

- [] No selection made
[] Yes
[] No

Nur eine Antwort wählbar

3.2.2 Proof of suitability [Mussangabe]

Maximalpunktzahl: 0

By ticking the appropriate box, I/we hereby expressly declare:

For the provision of the services put out to tender, I/we confirm that I/we have access to at least a total of 7 experts for the Core Team as proof of the professional experience and qualification mentioned in the minimum requirements.

I/We have completed the form "Declarations concerning eligibility" such as the "Short CVs of the Team Coordinator" "Short CVs of the Environmental Experts" and "Short CVs of the Social Expert" accordingly. I/We have attached the completed documents to the tender by uploading it in the Tender Assistant under the 'Attachments' tab.

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Mehrere Antworten wählbar

3.3 Sufficient number of experts in the expert pool and their qualification

3.3.1 Performance criteria

Ausschlusskriterium

The Tenderer (be he a single Tenderer or consortium) must have access to at least 19 experts who meet the profile and qualification requirements as follows:

Minimum requirements:

Expert Pool:

- o At least 2 Emissions and Pollution Control Experts
- o At least 4 Biodiversity Management Experts
- o At least 3 Resettlement and Community Relations Experts
- o At least 2 Indigenous Peoples Expert
- o At least 2 Cultural Heritage Expert
- o At least 6 Occupational Health and Safety Experts

The company must ensure by themselves that the following requirements are fulfilled. The minimum requirements depend solely on the number of experts.

1. A completed Master's Degree or a degree of equivalent level in studies with immediate relevance to the proposed role in the assignment;
2. At least 6 years of experience in advisory roles in projects immediately related to environmental and social assessment or management and due diligence.
3. Demonstrated experience with the World Bank Environmental and Social Standards or the IFC Performance Standards.

Note: The following selection possibilities (No selection made/Yes/No) have been greyed purposely. No entries are necessary.

- ☐ No selection made
- ☐ Yes
- ☐ No

Nur eine Antwort wählbar

3.3.2 Proof of suitability [Mussangabe]

Maximalpunktzahl: 0

By ticking the appropriate box, I/we hereby expressly declare:

For the provision of the services put out to tender, I/we confirm that I/we have access to a sufficient number of experts. I/we company must ensure by myself/ourselves that the profile and qualification requirements are fulfilled. The minimum requirements depend solely on the number of experts.

I/We have completed the form 'Declarations concerning eligibility' accordingly. I/We have attached the completed documents to the tender by uploading it in the Tender Assistant under the 'Attachments' tab.

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Mehrere Antworten wählbar

4 Grounds for exclusion due to para 123, 124 GWB

4.1 Obligations: payment of taxes, duties, charges, social security contributions

4.1.1 Self Declaration [Mussangabe]

Has your company/ a member of your consortium violated its obligations concerning payment of taxes, duties, charges or social security contributions pursuant to the legal provisions of the country in which he has his registered office or place of residence?

(Please tick applicable check box)

- ☐ No selection made (0)
- ☐ Yes (0)
- ☐ No (0)

Nur eine Antwort wählbar

4.1.2 If answered yes please provide a full explanation: [Mussangabe]

If yes, please explain below:

4.1.3 Have "self-cleaning" measures been implemented? [Mussangabe]

If 1.2.1. was answered with a yes: Please provide the final judgements or the conclusive administrative decisions and please indicate, if you have effected the payment or have committed yourself to pay the taxes, duties, charges or social security contributions including interest, late payment and penalty fines.

4.2 Violation of prevailing environmental, social or employment-law obligations

4.2.1 Self Declaration [Mussangabe]

Has your company/ a member of a consortium been in violation of prevailing environmental, social or employment-law obligations when executing public contracts established by Union law, national law, collective agreements or by international law provisions?

- ☐ No selection made (0)
- ☐ Yes (0)
- ☐ No (0)

Nur eine Antwort wählbar

4.2.2 If answered yes, please provide a full explanation [Mussangabe]

Explain the violation in detail:

4.2.3 Have "self-cleaning" measures been implemented? [Mussangabe]

Have you taken „self-cleaning“ measures within the meaning of Section 125 of GWB (e. g. compensation for damages; active cooperation with investigative authorities and concerned contracting authorities; precise technical, organizational and personal measures suitable to prevent further offences or misconduct)?

If so, please explain below:

4.3 Bankruptcy, Insolvency, Liquidation

4.3.1 Self Declaration [Mussangabe]

Is your company/ a member of your consortium either bankrupt, or have insolvency proceedings been requested or initiated and the initiating of such proceedings has not been rejected due to insufficiency of assets?

Is your company/ a member of your consortium either in the process of liquidation or has it suspended its business activities?

(Please tick applicable check box)

- ☐ No selection made (0)
☐ Yes (0)
☐ No (0)

Nur eine Antwort wählbar

4.3.2 If answered yes, please provide a full explanation [Mussangabe]

Please explain below:

4.4 Severe professional misconduct

4.4.1 Self Declaration [Mussangabe]

Has a person your company/ a member of your consortium is accountable, committed an act of severe professional misconduct within the scope of its employment, which renders the company's integrity questionable?

(Please tick applicable check box)

- ☐ No selection made (0)
☐ Yes (0)
☐ No (0)

Nur eine Antwort wählbar

4.4.2 If answered yes, please provide a full explanation [Mussangabe]

Please explain below:

4.4.3 Have "self-cleaning" measures been implemented? [Mussangabe]

Has the company taken „self-cleaning“ measures within the meaning of Section 125 of GWB (e. g. compensation for damages; active cooperation with investigative authorities and concerned contracting authorities; precise technical, organizational and personal measures suitable to prevent further offences or misconduct)?

If so, please explain below:

5 Monitoring according to Regulation (EU) 2022/576 [Mussangabe]

According to Regulation (EU) 2022/576 it shall be prohibited to award any public contract to:

- (a) a Russian national, or a natural or legal person, entity or body established in Russia;
- (b) a legal person, entity or body whose proprietary rights are directly or indirectly owned for more than 50 % by an entity referred to in point (a) of this paragraph; or
- (c) a natural or legal person, entity or body acting on behalf or at the direction of an entity referred to in point (a) or (b) of this paragraph,

including, where they account for more than 10 % of the contract value, subcontractors, suppliers or entities whose capacities are being relied on within the meaning of the public procurement Directives.

☐ I herewith confirm, that neither my company (legal or natural person) respectively a company of the consortium (legal or natural person) represented by my company, nor a supplier or subcontractor (legal or natural person) appointed by my company respectively by a company of the consortium (legal or natural person) represented by my company, are concerned by the ban of Article 5k Regulation (EU) No 833/2014 (provision supplemented by Regulation (EU) 2022/576).

Mehrere Antworten wählbar

6 Subcontractors

6.1 Declaration about the deployment of Subcontractors [Mussangabe]

Do you deploy subcontractors for the performance of the services?

- ☐ No selection made (0)
☐ Yes (0)
☐ No (0)

Nur eine Antwort wählbar

6.2 Further specifications concerning subcontractors

If you have answered the question with "yes":

Please specify

1. the nature and the scope of the tasks and portions of the services that are expected to be rendered by subcontractors
2. if already possible when tendering: Name/company of the subcontractor

Example:

1. Services rendered by the subcontractor: ...
Name/company of the subcontractor: ...

2. Services rendered by the subcontractor: ...
Name/company of the subcontractor: ...

7 Reliance on the capacities of other entities

7.1 Declaration about the Reliance on other capacities [Mussangabe]

Do you rely on the capacities of other entities to prove your eligibility (reliance on the capacities of other entities)?

- ☐ No selection made (0)
☐ Yes (0)
☐ No (0)

Nur eine Antwort wählbar

7.2 Further specification concerning capacity reliance

If you have answered the question with "yes":

Please specify the other entity/entities, inform which services the other entity will pursue and indicate the capacities and the proof of eligibility of the other entity.

Example

1. Entity: ...

- Services pursued by the entity: ...

- Capacities and proof of eligibility: ...

2. ...

7.3 Commitment in the case of a capacity reliance

Do you have attached the commitment of entities in case of a reliance on the capacities of other entities for the subcontractors, whose capacities you rely on to prove your eligibility?

- ☐ No selection made (0)
☐ Yes (0)
☐ No (0)

Nur eine Antwort wählbar

8 Competition register

8.1 Request of a register summary by KfW

I am/We are aware that, provided the value of the tendered contract hits or exceeds EUR 30.000,-- including tax, KfW shall in accordance with paragraph 6 sec. 1 sentence 1 Competition Register Act (Wettbewerbsregistergesetz) request from the Federal Cartel Office (Bundeskartellamt) if the competition register contains entries concerning the tenderer with whom KfW intends to conclude the contract. The entries in the competition register may concern both natural persons (e.g. personal undertakings) and legal persons.

8.2 Name of the register

Please enter the name of the german or foreign professional, trade, association, partnership or comparable official register your entity is registered.

8.3 Number of the register

Please enter the number of the register of the indicated german or foreign register your entity is registered.

8.4 Authority of the register

Please enter the authority (court / administration / agency), that keeps the register.

8.5 Postal address of the tenderers registered office

Please enter the street name, house number and postcode (no postbox!) as well as city and country of your registered office.

8.6 Value Added Tax ID

Please enter the Value Added Tax ID of your entity (if applicable).